



## CERTIFICATION OF INDIVIDUAL

**To be completed by ALL INDIVIDUALS who hold a 20% or greater ownership interest in the loan applicant.**

| GENERAL INFORMATION  |   |
|--|---|
| 1. NAME AND ADDRESS OF APPLICANT ( <i>Firm Name, Street, City, State and Zip</i> ):  | 2. LOAN PROGRAM:  |
|  | 3. PERSONAL STATEMENT OF:<br>3A. FIRST NAME:  |
|  | 3B. MIDDLE NAME:  |
|  | 3C. LAST NAME:  |
|  | 4. % OF OWNERSHIP IN LOAN APPLICATION:  |
|  | 5. SOCIAL SECURITY #:   |
| 6. DATE OF BIRTH ( <i>Month, Day and Year</i> ):   | 7. PLACE OF BIRTH ( <i>City &amp; State or Foreign Country</i> ):   |
| 8. U.S. CITIZEN?<br><input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ <i>If No, are you a lawful permanent resident alien?</i> <input type="checkbox"/> Yes <input type="checkbox"/> No |   |
| IF NON-U.S. CITIZEN PROVIDE ALIEN REGISTRATION NUMBER BELOW:   |   |
| 9. RESIDENCE:<br>From ( <i>MM/DD/YY</i> ): _____ To ( <i>MM/DD/YY</i> ): _____<br>ADDRESS ( <i>Street, City, State and Zip</i> ):  | MOST RECENT PRIOR ADDRESS ( <i>omit if over 10 years ago</i> ):<br>From ( <i>MM/DD/YY</i> ): _____ To ( <i>MM/DD/YY</i> ): _____<br>ADDRESS ( <i>Street, City, State and Zip</i> ): |
| HOME PHONE ( <i>Include Area Code</i> ):   | BUSINESS PHONE ( <i>Include Area Code</i> ):  |

**You must initial your responses to questions 8 and 10-17.**

*If you answer "yes" to questions 10-16 or "no" to question 17, furnish details on a separate sheet. For criminal matters, include dates, location, fines, sentences, whether misdemeanor or felony, unpaid fines or penalties, and any other pertinent information. A criminal record will not necessarily disqualify you; however, an untruthful answer will cause your application to be denied and subject you to other penalties as noted below.*

|  |  |
|--|--|
| 10. Are you presently subject to an indictment, criminal information, arraignment, or other means by which formal criminal charges are brought in any jurisdiction?  | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 11. Have you been arrested in the past 12 months for any criminal offense?   | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 12. For any criminal offense – other than a minor vehicle violation – have you ever: 1) been convicted; 2) pled guilty; 3) pled nolo contendere; 4) been placed on pretrial diversion; or 5) been placed on any form of parole or probation (including probation before judgment)? | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 13. Have you filed for bankruptcy within the past ten (10) years?  | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 14. Are there any outstanding judgments entered against you?   | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 15. Are you presently a party to any lawsuits?   | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 16. Are there any local, state or federal tax liens filed against you?   | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |
| 17. Are you current with all local, state, and federal taxes?  | <input type="checkbox"/> Yes <input type="checkbox"/> No Initials: _____ |

### CERTIFICATION

**CAUTION – PENALTIES FOR FALSE STATEMENTS: Knowingly making a false statement on this form is a violation of law and could result in criminal prosecution, significant civil penalties, and a denial of your loan.**

|                                  |       |
|----------------------------------|-------|
| NAME & TITLE (TYPED OR PRINTED): |       |
| SIGNATURE:                       | DATE: |